Relaxation, pre-thermalization and diffusion in a noisy Quantum Ising Chain.

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We study the dynamics of thermalization resulting from a time-dependent noise in a Quantum Ising Chain subject to a sudden quench of the transverse magnetic field. For weak noise the dynamics shows a pre-thermalized state at intermediate time scales, eventually drifting towards an asymptotic infinite temperature steady state characterized by diffusive behavior. By computing analytically the density of kinks, as well as the transverse and longitudinal magnetic field correlators, we characterize these two regimes, their observability and their signatures in the various physical quantities.

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The dynamics of relaxation towards thermal equilibrium has been one of the recurrent themes of theoretical physics in the past decades [1, 2]. The problem is of crucial importance in many contexts, ranging from condensed matter physics to cosmology: if we think of injecting suddenly, e.g. by an abrupt change of one of its parameters (a quantum quench), a finite amount of energy in an otherwise closed many-body system, under which conditions will the system reach a thermal steady state? And how is the steady state going to be attained? The first question has been thoroughly addressed in the literature [1-4]: on one hand it is natural to expect that scattering processes will in the long run lead to an ergodic, thermal redistribution of energy among the elementary degrees of freedom [3]. An exception are however integrable systems, where multi-particle scattering processes are highly constrained as a result of conservation laws [4]. As recently observed in experiments with quasi-1d Bose gases, thermalization in the usual sense will not occur [5] and the asymptotic state eventually attained by the system in the thermodynamic limit is expected to be described by an effective Generalized Gibbs ensemble (GGE) accounting for all conserved quantities [4, 6].

While in the past few years a great deal of attention has been paid to the description of the asymptotic steady state, much less is known about the dynamics of equilibration of both thermally isolated and open quantum many-body systems. A common feature in both cases is the expectation of a the dynamics towards the steady state is characterized by various stages. In the case of open quantum systems, such as spin chains coupled to classical and quantum uniform noise [7, 8] or to a bosonic bath [9, 10], these are expected to be driven by the interplay between many-body interactions, dephasing and dissipation associated to the external environment [11]. For example, the thermalization of the system following a rapid quench of the bath temperature is driven by the spread of thermal correlations at a velocity set by the temperature [10]. For closed systems recent studies of the dynamics of quantum field theories [12] suggest that first the system decays to a so-called prethermalized state, where the expectation value of cer-

tain macroscopic observables is to a good approximation thermal, while the distribution function of the elementary degrees of freedom is not [12, 13]. Only at a second stage, when energy is efficiently redistributed by scattering processes, real thermalization occurs. Signatures of these crossovers have been investigated theoretically in a variety of systems (e.g. Fermi-Hubbard models [13–15] or Spinor Condensates [16]), and prethermalization has been observed experimentally in split one dimensional condensates [17, 18]. While it is evident that the dynamics of thermalization could in general display various crossovers, including a pre-thermalized plateau, it is not clear from the outset whether this is a general phenomenon for both open and closed systems, what are the conditions for its observability on the system at hand and what are going to be the signatures in the various observables.

In this Letter we address these issues by considering the dynamics of thermalization of a prototypical weakly perturbed integrable system, a Quantum Ising Chain subject to time-dependent noise. The perturbation considered in this work does not conserve the energy, hence the dynamics of quantities averaged over the noise resembles that of an open quantum system. Studying analytically the dynamics of all essential observables and correlation functions following a sudden quench of the transverse magnetic field in the Quantum Ising Chain, we will discuss the nature of the thermalization dynamics and show that it is characterized by a crossover between pre-thermalized and thermalized regimes. In particular, we will show that pre-thermalization originates from the spreading of quantum and thermal correlations at different velocities. This effect is clearly observable for the transverse magnetization, where it leads to a neat crossover towards a long time diffusive behavior of the correlators. On the other hand, it leaves much weaker signatures on the correlation functions of the order parameter, which has always a "thermal" form [19]. Finally we thoroughly discuss which features of the dynamics of this open system are expected to be relevant for the more complex problem of thermalization resulting from integrability breaking in a thermally isolated system.

Before entering technical details, let us summarize the

main qualitative picture emerging from our analysis. We are going to study a weakly perturbed Quantum Ising chain, characterized by the hamiltononian $H=H_0+V$, where H_0

$$H_0 = -\sum_i \sigma_i^x \sigma_{i+1}^x + g\sigma_i^z, \tag{1}$$

describes the Integrable Quantum Ising chain [20]. Here $\sigma_i^{x,z}$ are the longitudinal and transverse spin operators at site i and g is the strenght of the transverse field, while $V = \sum_i \delta g(t) \sigma_i^z$ is a weak time-dependent white noise, with zero average and a strength characterized by the parameter Γ ,

$$\langle \delta g(t)\delta g(t')\rangle = \frac{\Gamma}{2}\delta(t-t').$$
 (2)

The Quantum Ising chain is among the simplest, yet nontrivial integrable many-body system, whose static [20] and dynamic properties [19, 21] are to great extent known. It is characterized by two dual gapped phases, quantum paramagnetic (g > 1) and ferromagnetic (g <1) separated by a quantum critical point (g = 1) where the gap $\Delta = |g-1|$ closes. For a quench of the transverse field all essential correlation functions have been studied extensively [19, 21]. In the following, we will consider the dynamics of the noisy Ising chain following a quench protocol: for times t < 0 the system is assumed to be in the ground state of H_0 with $g = g_0$ and $\delta g(t) = 0$, and at time t = 0 the noise is turned on together with a global quench of the transverse field $g_0 \to g$. We will present results for quenches within the paramagnetic phase - other types of quenches will be discussed elsewhere [22].

A qualitative picture of the mechanism of prethermalization can be obtained by looking at the separation of time scales associated to three distinct physical effects [23]. First of all, the coherent superposition of modes with different frequencies leads to a first, power law decay of physical quantities towards the pre-thermalized (yet non-thermal) state described by a GGE [12, 15, 24]. This effect is similar to inhomogeneous broadening. This first decay is abruptly accelerated on time scales of order of $1/\Gamma$ by the intervention of noise induced dephasing. Finally, on much longer times scales the occupation of quasi-particles evolves towards its thermal value (in our case corresponding to infinite temperature). All of this is clearly observed in the time evolution of the density of kinks $n_{\rm kink}(t) = \langle \sum_i (1 - \sigma_i^x \sigma_{i+1}^x)/2 \rangle$, which can be written as $n_{kink}(t) = n_{drift}(t) + \Delta n(t)$; here, n_{drift} describes the heating of the system towards the state of infinite temperature, and for finite Γ and long times is given by $n_{\rm kink} \simeq \frac{1}{2} - \frac{m_1}{4\sqrt{\pi}\sqrt{\Gamma t}}$, while $\Delta n(t)$ describes the sharp oscillations related to the quantum evolution induced by the quench (see Fig. 1 and discussion after Eq.(16)).

This sequence of crossovers can be further analyzed by studying the spreading of correlations $\rho_{\alpha}(r,t) = \langle \sigma_i^{\alpha}(t)\sigma_{i+r}^{\alpha}(t)\rangle$ in the transverse $(\alpha=z)$ and longitudinal $(\alpha=x)$ directions. A pre-thermalized plateau

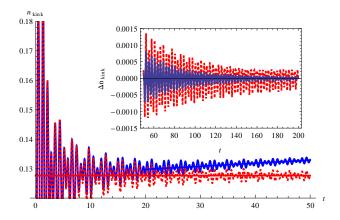


FIG. 1: [Color online] The density of kinks vs. time for a quench with $\Gamma=0.01,\ \Delta=3,\ \Delta_0=0.1$ (blue full line). While the red dotted curve is the value attained by $n_{\rm kink}$ without perturbation (the red horizontal straight line sets the asympthotically value predicted by GGE), the full blue line shows the time evolution with noise that first appears to saturate at the GGE value, but later runs away towards the infinite temperature state (the blue oblique straight line is n_{drift}). [Inset] Δn_{kink} vs. time. The dephasing of the quantum oscillations is accelerated in the presence of the noise (blue full line) in comparison with the case without perturbation (red dotted line).

emerges when quantum and thermal correlations propagate at sufficiently different velocities: this is manifest in the various regimes of the transverse correlation functions. The first crossover is observed for $\Gamma t \ll 1$

$$\rho^{zz}(r,t) \simeq_{\Gamma t \ll 1} \begin{cases} \frac{1}{2\pi r^2} \exp[-2\Delta_0 r] & r \gg t \\ \frac{1}{2\pi r^2} \exp[-r/\xi_z] & r \ll t \end{cases}$$
(3)

where ξ_z is the correlation length associated to the quantum quench protocol. For larger times, namely $\Gamma t \gg 1$, the noise becomes relevant and the second crossover, between exponential and diffusive behavior of the correlator, emerges

$$\rho^{zz}(r,t) \simeq_{\Gamma t \gg 1} \begin{cases} \frac{1}{2\pi r^2} \exp[-r/\xi_z] & \gamma t \ll r \ll t \\ -\frac{1}{\pi} \frac{\Delta^2}{4} \frac{1}{\Gamma t} \exp\left[-\frac{(\Delta r)^2}{2\Gamma t}\right] & r \ll \gamma t \end{cases}$$
(4)

with $\gamma = \Gamma/\Delta$. The correlation length, which is dictated at $t \ll r$ by the initial gap Δ_0 , crosses over as $r \simeq t$ to an intermediate asymptotic form depending by the masses of the quench, through the function $\xi_z = \xi_z(g_0, g_1)$ [21]. Though admittedly thermal behavior is stronger in the longitudinal correlators (see below), the state reached in the intermediate plateau is the one the system would have reached in the absence of noise, hence in a sense the pre-thermalized state of the weakly perturbed integrable model [15, 18]. The first crossover is driven by the lightcone effect [25, 26]: this intermediate plateau persists

until a second front, propagating at speed lower by a factor γ and carrying thermal correlations, passes through r. At this point the correlator crosses over to a diffusive time-dependent form, consistent with thermal Glauber dynamics [27], indicating the continuous heating of the system towards the infinite temperature state. A similar behaviour emerges also for quenches across the critical point or starting at the critical point. In the absence of the quench, the correlator doesn't show the intermediate prethermalized regime and the equilibrium correlator $e^{-2\Delta_0 r}$ - crosses over directly to the diffusive behaviour [22].

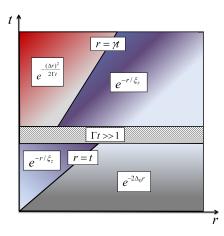


FIG. 2: The spreading of quantum and thermal correlations in the noisy Quantum Ising Model: the transverse field correlator has a first crossover when ballistic quasi-particles, carrying quantum correlations, propagate at the distance r. Thermal correlations propagate at a second stage, leading to a crossover to a diffusive form, consistent with thermal Glauber dynamics.

Thermal behavior in the intermediate plateau is observed more clearly in the correlation function of the longitudinal magnetization. For a quench without dissipation this correlator has in general an exponential form $\rho^{xx}(r,t) \sim$ $\exp[-r/\xi]$, with a correlation length ξ dictated by the non-thermal distribution function of quasi-particles and predicted by the Generalized Gibbs ensemble [28]. For small quenches, however, it turns out that \mathcal{E} can be efficiently parameterized in terms of an effective temperature $T_{\rm eff}$ [19] in the form $\xi \simeq \sqrt{\pi/(2T_{\rm eff}\Delta)} \exp[\Delta/T_{\rm eff}]$. The emergence of this thermal behavior (not to be confused with thermalization) in a system with a nonthermal distribution of quasi-particles is strikingly similar to the phenomenology of pre-thermalization observed experimentally in split condensates [17] (the two effects turn out to have a common physical origin [29]). Turning on the noise, the signatures of the crossover observed for the transverse magnetization are expected in this case to be different. Indeed, computing the correlation function following a switching on of the noise starting with the

equilibrium state with gap Δ_0 one obtains

$$\rho^{xx}(r,t) \sim r^{-1/2} e^{-r/\xi(t)} \tag{5}$$

where $1/\xi(t) = (1/\xi + \frac{\Gamma t}{2(1+\Delta_0)^2})$ and $1/\xi = \log(1+\Delta_0)$. Since the same exponential form persists the spreading of quantum and thermal correlations will not result in a diffusive form, but rather modify just the specifics of the correlation length which at later times shrinks as $1/\Gamma t$. The different signatures observed in the transverse and longitudinal magnetization are consistent with analogous effects observed elsewhere [19, 30].

Let us now present some of the technical details of our analysis. Our main task will be to write a closed kinetic equation for the quasi-particles of the Quantum Ising chain subject to the influence of noise. Quasi-particles can be introduced in terms of Jordan-Wigner fermions [20], c_i , through the relation $\sigma_i^z = 1 - 2c_i^{\dagger}c_i$ and $\sigma_i^x = -\prod_{j < i} (1 - 2c_j^{\dagger}c_j)(c_i + c_i^{\dagger})$. The Hamiltonian takes in Fourier space, $c_k = \sum_j c_j e^{ikj}$, the simple form

$$H = 2\sum_{k>0} \widehat{\psi}_k^{\dagger} \widehat{H}_k \widehat{\psi}_k \tag{6}$$

where

$$\widehat{H}_k = \widehat{H}_k^0 + \delta g(t)\sigma_z \tag{7}$$

 $\widehat{H_k^0}=(g-\cos k)\sigma_z-(\sin k)\sigma_y,~\widehat{\psi}_k$ is the Nambu spinor $\binom{c_k}{c_{-k}^\dagger}$), and $\sigma_y,~\sigma_z$ are the Pauli matrices in the 2×2 Nambu space. Without noise, the diagonal form $H=\sum_{k>0}E_k(\gamma_k^\dagger\gamma_k-\gamma_{-k}\gamma_{-k}^\dagger),$ with energies $E_k=\sqrt{(g-\cos k)^2+\sin^2k},$ is achieved after a Bogoliubov rotation $c_k=u_k(g)\gamma_k-iv_k(g)\gamma_{-k}^\dagger$ and $c_{-k}^\dagger=u_k(g)\gamma_{-k}^\dagger-iv_k(g)\gamma_k;$ the coefficients are given by

$$u_k(g) = \cos(\theta_k(g))$$
 $v_k(g) = \sin(\theta_k(g))$ (8)

where $\tan(2\theta_k(g)) = \sin(k)/(g - \cos(k))$. Notice that the gap in the spectrum is $\Delta = |g - 1|$ [20].

In order to describe the dynamics of the Ising model under the effect of the noise we will now derive a set of kinetic equations for the lesser Green function [31]

$$G^{<}(t,t') = \left[G_k^{<}(t,t')\right]_{i,j} = i\langle\psi_{k,j}^{\dagger}(t')\psi_{k,i}(t)\rangle, \quad (9)$$

in terms of which we will express all physical observables of the model. Our kinetic equation is encoded in the Dyson equation for the contour ordered Green's function

$$G_{t,t'}^c = G_{0_{t,t'}}^c + G_{0_{t,t''}}^c \Sigma_{t'',t'''}^c G_{t''',t'}^c, \tag{10}$$

where $G_{0_{t,t'}}^c$ is the unperturbed Green function and $\Sigma_{t,t'}^c$ is the self energy; in right hand side it is understood a convolution product, all the quantities are evaluated along the Keldysh contour. In the following we will take

all self-energies within the self-consistent Born approximation [9], controlled by the small parameter γ . Specializing now the Dyson equation to the lesser and advanced Green's function and defining the equal time matrix $\rho_t \equiv -iG_{t,t}^{<}$, we obtain with simple algebraic manipulations

$$\partial_t \rho_k = -i[H_k^0, \rho_k] + \frac{\Gamma}{2} (\sigma \rho_k \sigma - \rho_k), \tag{11}$$

the second term in the right hand side takes into account the effect of the noise, and $\sigma \equiv \cos 2\theta_k \sigma_z + \sin 2\theta_k \sigma_y$ [32]. Here ρ_k are expressed in the basis of the fermions diagonalizing H_0

$$\rho_k = \begin{pmatrix} \langle \gamma_k^{\dagger} \gamma_k \rangle & \langle \gamma_k^{\dagger} \gamma_{-k}^{\dagger} \rangle \\ \langle \gamma_{-k} \gamma_k \rangle & \langle \gamma_{-k} \gamma_{-k}^{\dagger} \rangle \end{pmatrix}$$
(12)

where $\langle \gamma_k^{\dagger} \gamma_k \rangle$ are the *populations* of levels of momentum k and $\langle \gamma_k^{\dagger} \gamma_{-k}^{\dagger} \rangle$ the *coherences*. While this kinetic equation is analogous to a Lindblad master equation for a two level system, the Keldysh diagrammatic technique has the advantage of clarifying the approximations made in a language appropriate, and easily generalized to other many-body problems [31]. Parameterizing the matrix ρ_k in the form $\rho_k = \frac{1}{2} \mathbf{1} + \delta f_k \sigma_z + x_k \sigma_x + y_k \sigma_y$, we obtain a set of three coupled equations.

$$\partial_t(\delta f_k) = -\Gamma \sin^2 2\theta_k \delta f_k + \frac{\Gamma}{2} y_k \sin 4\theta_k, \tag{13}$$

$$\partial_t x_k = -\Gamma x_k - 2E_k y_k, \tag{14}$$

$$\partial_t y_k = \frac{\Gamma}{2} \sin 4\theta_k \delta f_k + 2E_k x_k - \Gamma \cos^2 2\theta_k y_k. (15)$$

The initial time condition depends of course on the physical situation to be studied: for a quench from $g_0 > 1$ to g > 1, one obtains $\rho_k = 1/2 + (\cos(2\Delta\alpha_k)/2) \sigma_z + (\sin(2\Delta\alpha_k)/2) \sigma_y$ (where $\Delta\alpha_k = \theta_k(g) - \theta_k(g_0)$). These equations can be readily solved exactly and the resulting long time dynamics turns out to be dominated by the modes close to $k = 0, \pm \pi$ This can be clearly evinced by neglecting the terms coupling $\delta f_k - y_k$ in Eq.(13)-(15), in the limit $\gamma \ll 1$. In that case Eq. (13) gives $\delta f(t) = (\sin^2(\Delta\alpha_k) - 1/2)e^{-\Gamma\sin^22\theta_1t}$, where $\Delta\alpha_k = \theta_1 - \theta_0$. In this expression we can now see that the relaxation rates tend to vanish close to the band edges $(k = 0 \pm \pi)$, while most of the modes relax fast to their thermal occupation $(n_k \simeq 1/2)$ on time scales of the order of $1/\Gamma$. The

coherences in turn decay exponentially fast: focusing on $k \simeq 0$, and using $\gamma \ll 1$, we indeed find

$$\delta f_k = \frac{1}{2} \left(\frac{k^2}{2m^2} \rho_-^2 - 1 \right) e^{\frac{-\Gamma k^2 t}{m^2}}$$

$$x_k - i y_k(t) = -\frac{ik}{2m} \rho_- e^{-\alpha t - i\beta t}$$
(16)

where we have defined $\rho_{-} \equiv (\Delta_0 - \Delta)/\Delta_0$ [33], $\alpha \simeq \Gamma + O(k^2)$ and $\beta \simeq 2\Delta + O(k^2)$. A similar analysis expansion is of course possible close to $k = \pm \pi$.

Using the above expressions for the populations and the coherences, the computation of the number of kinks and of the correlators follow the standard tecniques used for the Quantum Ising Model [19, 20, 25, 28, 34–36] and the results shown in the first part of the paper comes after ordinary algebraic manipulations, that we will report elsewhere [22]. For instance, in the number of kinks, $n_{kink} = n_{drift}(t) + \Delta n(t)$, where $n_{drift}(t) = \sum_{k>0} \frac{1}{2} + \delta f_k \cos 2\Delta \alpha_k^*$ and $\Delta n(t) = \sum_{k>0} \sin 2\Delta \alpha_k^* y_k$, the first term describes the drift towards the infinite temperature state and the second one, due to the coherences, describes oscillations suppressed by the noise on a time scale $\sim 1/\Gamma$ (see inset of Fig. 1).

In conclusion, we have discussed the dynamics of thermalization in a weakly perturbed integrable model, a noisy Quantum Ising chain. Though the results presented in this work pertain to a noisy, open quantum system, we believe that some of the features discussed, such as crossovers between prethermalized and thermal regimes driven by the spreading of fronts at different velocities, will be observed also in the dynamics of thermalization of weakly non-integrable models. Similarly, we do expect different signatures of thermalization to be observed consistently in the transverse and longitudinal correlators [19, 30]. At the present, however, it is not clear whether a weak breaking of integrability in the Ising model will generically lead to diffusive behaviour of the transverse magnetization correlator: this issue remains to be addressed by future studies.

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